

PATENT
005702-20035 (81788.0020)#22E
R
2/26/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Shota KITAMURA et al.

Serial No: 09/392,865

Filed: September 9, 1999

For: NON-VOLATILE SEMICONDUCTOR
MEMORY DEVICE AND ITS
MANUFACTURING METHOD

Art Unit: 2811

Examiner: Thien F. Tran

I hereby certify that this correspondence
is being deposited with the United States
Postal Service with sufficient postage as
first class mail in an envelope addressed
to:Commissioner for Patents
Washington D.C. 20231, on

February 25, 2002

Date of Deposit

John P. Schuchter, Reg. No. 23,009

Name

Signature

Date

I hereby certify that this paper and every paper
referred to herein is being transmitted via facsimile to
recipient at (703) 308-7382 on:

February 25, 2002

Date of Facsimile Transmission

John P. Schuchter, Reg. No. 23,009

Name

Signature

Date

AMENDMENT UNDER 37 C.F.R. § 1.116

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to the Final Office Action dated December 28, 2001, please
amend the above-identified application as follows:

IN THE CLAIMS:

Rewrite claim 16 as follows:

16. (Twice Amended) A nonvolatile semiconductor memory device
comprising:
a semiconductor substrate;
memory transistors formed on said semiconductor substrate to perform
nonvolatile storage of an electric charge in accordance with data, each of said

COPY RECEIVED
FEB 25 2002
TECHNOLOGY CENTER 2800

HOGAN & HARTSON L.L.P.

500 SOUTH GRAND AVENUE
SUITE 1900
LOS ANGELES, CA 90071

Tel: (213) 337-6700
Fax: (213) 337-6701

WASHINGTON, DC
BRUSSELS
BUDAPEST*
LONDON
MOSCOW
PARIS*
PRAGUE*
WARSAW
BALTIMORE, MD
BOULDER, CO
COLORADO SPRINGS, CO
DENVER, CO
MCLEAN, VA
NEW YORK, NY
ROCKVILLE, MD

*Affiliated Office

IMPORTANT NOTICE
TELECOPY/FACSIMILE COVER LETTER

TO: Examiner Thien F. Tran
U.S. Patent and Trademark Office
Group Art Unit 2811

DATE: February 25, 2002

FROM: John P. Scherlacher
Voice: (213) 337-6846
Fax: (213) 337-6701
jpscherlacher@hhlaw.com

TIME: _____

TOTAL NO. OF PAGES, INCLUDING COVER: _____

The attached information is **CONFIDENTIAL** and is intended only for the use of the addressee(s) named above. If the reader of this message is not the intended recipient(s) or the employee or agent responsible for delivering the message to the intended recipient(s), please note that any dissemination, distribution or copying of this communication is strictly prohibited. Anyone who receives this communication in error should notify us immediately by telephone and return the original message to us at the above address via the U.S. Mail.

MESSAGE:

RE: U.S. Patent Application Serial No. 09/392,865 filed September 9, 1999
Applicant: Shota KITAMURA; For: NON-VOLATILE SEMICONDUCTOR MEMORY DEVICE AND
ITS MANUFACTURING METHOD

-- John P. Scherlacher, Reg. No. 23,009
Direct Phone No. (213) 337-6846

FOR INTERNAL PURPOSES ONLY

TELECOPY/FAX NUMBER: (703) 308-7382
CLIENT NUMBER: 81788.0020
ATTORNEY BILLING NUMBER: 71931
CONFIRMATION NUMBER: (703) 308-4108

FAX COPY RECEIVED

FEB 25 2002

TECHNOLOGY CENTER 2800

S.N. 09/392.865 File No. 005702-20035/81788.0020 Date Mailed 02/25/02 By: JPS/rm
Title: NON-VOLATILE SEMICONDUCTOR MEMORY DEVICE AND ...

(Client Name) Toshiba Corp.

The Following, due 03/28/02 in the U.S. Patent & Trademark Office
was received in the Patent & Trademark Office on the date stamped hereon:

- ☒ Amendment
☒ Letter of Transmittal
☒ Associate Power of Attorney

fax & Mail

116498_1.DOC

Check No. _____ for \$ _____

FAX COPY RECEIVED

FEB 25 2002

TECHNOLOGY CENTER 2000